### **EXHIBIT V-E**

## SECTION 3 REPORTING FORM FOR APRIL 1, THROUGH MARCH 31,

| Recipient Name and A     | ddress:                    |              |                  |                 |             |  |
|--------------------------|----------------------------|--------------|------------------|-----------------|-------------|--|
|                          |                            |              |                  |                 |             |  |
| Contact Person:          |                            |              |                  |                 | -           |  |
| Telephone number:        |                            |              | Project #:       |                 |             |  |
| Total Project Cost (Incl | ude grant funds and a      | ll other fur | nding sources):_ |                 |             |  |
| Source of Grant Funds    | (Check whichever is a CDBG |              | and include \$ a | mount per fundi | ing source: |  |
|                          | \$                         | \$           |                  |                 |             |  |

# Part I: Employment and Training (Include New Hires in columns C and D)

| A<br>Total New Hires<br>by Job Category | B % of Aggregate New Hires that are Section 3 Residents | C % of total staff hours for Section 3 Employees and Trainees | D<br>Number of Section<br>3 Employees and<br>Trainees | Ethnic Codes |   |   |   |   |
|---|---|---|---|--------------|---|---|---|---|
|   |   |   |   | 1            | 2 | 3 | 4 | 5 |
| Professionals                           |   |   |   |              |   |   |   |   |
| Technicians                             |   |   |   |              |   |   |   |   |
| Office/Clerical                         |   |   |   |              |   |   |   |   |
| Construction by Trade (List)            |   |   |   |              |   |   |   |   |
| Trade:                                  |   |   |   |              |   |   |   |   |
| Trade:                                  |   |   |   |              |   |   |   |   |
| Trade:                                  |   |   |   |              |   |   |   |   |
| Trade:                                  |   |   |   |              |   |   |   |   |
| Trade:                                  |   |   |   |              |   |   |   |   |
| Other (List):                           |   |   |   |              |   |   |   |   |
|   |   |   |   |              |   |   |   |   |
| Total:                                  |   |   |   |              |   |   |   |   |

### Racial/Ethnic Codes:

1=White American 2=Black American 3=Native American 4=Hispanic American 5=Asian Pacific American 6=Hasidic Jews (For Part II only)

## Part II: CONTRACT OPPORTUNITIES

## FOR CONSTRUCTION CONTRACTS

| A.       | Total dollar amount of all contracts awarded on the project. \$   |
|----------|---|
| В.       | <b>Total</b> dollar amount of contracts connected with this project that were awarded \$ to Section 3 businesses.   |
|          | to dection a pusinesses.  |
| C.       | Percentage of total dollar amount of contract awarded to Section 3 businesses   |
| D.       | Number of Section 3 business receiving a contract.  |
|          | Ethnic Code of each business (See page one for codes):  1 2 3 4 5 6   |
| E.       | Enter under each racial/ethnic code (1-6) the number of employees and trainees recorded in Item D.  |
|          | FOR NON-CONSTRUCTION CONTRACTS  |
| A.       | Total dollar amount of all contracts awarded on the project/program . \$  |
| В.       | <b>Total</b> dollar amount of contracts connected with this project that were awarded to Section 3 businesses.  |
| C.       | <b>Percentage</b> of total dollar amount of contracts connected with this project/program that were awarded to Section 3 businesses   |
| D.       | Number of Section 3 businesses receiving awards. Indicate the appropriate racial/ethnic code(s)   |
| E.       | Enter under each racial/ethnic code (1-6) the number of employees and trainees recorded in Item D.  1 2 3 4 5 6   |
|          | <b>SUMMARY OF EFFORTS</b> (Describe efforts taken to provide jobs and other economic opportunities to residents and business concerns on Section 3 covered projects and activities. Include any impediments which encountered. (Check all that apply.)  |
| <u> </u> | Attempted to recruit low-income residents through local advertising media, signs prominently displayed at the project site, contacts with community organizations and public or private agencies operating within the metropolitan area or nonmetropolitan county in which the Section 3 covered project/program is located. Participated in a HUD program or other program which promotes the training or employment of Section 3 residents.  Participated in a HUD program or other program which promotes the award of contracts to business concerns which met the definition of Section 3 business concerns. |
|          | Coordinated with Youthbuild Programs administered in the metropolitan area in which the Section 3 covered project is located.  Other: describe below.   |

#### **DEFINITIONS TO BE USED IN COMPLETING SECTION 3 FORM**

This form is to be used to report annual accomplishments regarding employment, training and contracting opportunities provided to low and very low income persons under Section 3 of the Housing and Urban Development Act of 1958.

#### **DEFINITIONS**

**SECTION 3 POLICY** 

To ensure that the employment and other economic opportunities generated by Federal financial assistance for housing and community development programs shall, to the greatest extent feasible, be directed toward low and very low income persons, particularly those who are recipients of governments assistance for housing.

**SECTION 3** 

RESIDENT A public housing resident or an individual who resides in the metropolitan area or non-

metropolitan county in which assistance is expended and whose income does not exceed 80%

(low income) of the median for the area with adjustments.

NEW HIRE A full-time employee for a new permanent, temporary or seasonal position that is generated from

the expenditure of Section 3 covered assistance.

**SECTION 3 COVERED** 

CONTRACT

At the grantee level, a contract or subcontract in excess of \$100,000 (construction

or professional services) for work paid for by grant or other funds on a Section 3 covered project.

**SECTION 3 COVERED** 

**PROJECT** 

A project containing the activities of construction, reconstruction, conversion or rehabilitation of housing, or other public construction which includes buildings or improvements assisted with housing or community development assistance in which the total project costs exceed \$200,000 (may include projects with professional services contracts.)

SECTION 3 BUSINESS CONCERN

A business that provides economic opportunities to low and very low income persons through business ownership, employment, training or subcontracting. Specifically, a business:

- (1) with 51% or more ownership by Section 3 residents; OR,
- (2) in which at least 30% of permanent, full-time employees are section 3 residents; OR,
- (3) which commits to subcontract more than 25% of the dollar award of all subcontracts to businesses that meet the qualifications of (1) and (2).